TA 10/14/2020 TA: 10/15/20

Cristina Negoita

OT-AAUP Grievances Proposal* – 02/27/2020, 06/08/2020, 07/14/2020, 09/24/2020, 10/08/2020, 10/13/2020

ARTICLE [x]. GRIEVANCES

Section 1. Purpose.

The purpose of this Article is to provide a procedure that promotes the prompt and efficient resolution of grievances. The parties encourage informal resolution of grievances, whenever possible, and encourage open communication between bargaining unit members and administrators to avoid resorting to formal grievance procedures, except when unavoidable

Oregon Tech is not obligated to observe any other procedure for the resolution of grievances, as that term is defined herein, other than those procedures outlined in this Article.

Section 2. Definitions.

 A. "Grievance" is an allegation that there has been a violation, misinterpretation, or improper application of the provisions of this Agreement that had a direct adverse effect on the Grievant. The term "grievance" shall not include complaints related to matters of academic judgment

B. "Grievant" means the Association or bargaining unit members bringing forth a grievance as defined above.

C. "Academic judgment" shall mean, except as explicitly limited by this Agreement, the judgment by administrators concerning: (1) academic standards, competence, and performance as these relate to appointment, reappointment, promotion, tenure, or merit salary increases; or (b) curricula and educational policy..

D. "Day" means business day.

Section 3. General Provisions

A. A Grievant has the right of self-representation at any step in the grievance procedure and/or may choose to be accompanied by the Association's designated official. Oregon Tech will notify the Association's grievance officer of the filing of a self-representation grievance, but not the substance of the grievance, within ten (10) days of receipt of the grievance. Any resolution of a self-representation grievance shall be consistent with all the terms of this Agreement. In cases of self-representation, resolution at any step of the grievance, but not the substance of the resolution, shall be communicated to the Association's grievance officer, within ten (10) days of such resolution.

B. If requested by Grievant, the Association has the right to be present at, and to participate in, any formal Step in the grievance procedure outlined below, but shall not interfere with the right of self-representation.

- C. A bargaining unit member who is serving as the Association's grievance officer and files a grievance on their own behalf shall relinquish the role of grievance officer for the bargaining unit until their dispute is resolved.
 - D. Time is of the essence in the presentation of grievances. The time limits provided below for the initial presentation of a grievance are measured from the date of the act, omission, or commencement of condition upon which the grievance is based; or from such later date that the Grievant knew or reasonably should have known of the act, omission, or commencement of the condition upon which a grievance is based.
 - 1. In the event the time limit expires on a Saturday, Sunday, or holiday recognized by Oregon Tech, the time limit is automatically extended to the next business day.
 - 2. Time limits shall be extended for bargaining unit members who are on approved protected leave, other than sabbatical leave under Article [x], and resume the business day after returning from the leave.
 - 3. When mutually agreed upon by the parties, the time limits in any step of the grievance procedure may be modified. Any agreement to modify the time limits must be in writing.
 - 4. If the grievant or Association fails to meet the specified time limits, including any written modifications thereof, at any step of the grievance procedure, the grievance shall be considered withdrawn and it cannot be resubmitted or refiled. If Oregon Tech fails to issue a response within the specified time limits, including any written modifications thereof, at any step of the grievance procedure, the grievance may be advanced to the next step of the grievance procedure.
 - E. Grievances and Notices of Intent to Arbitrate shall only be submitted on the forms attached in Appendix [x] of this Agreement (i.e., Grievance Form, Grievance Review Forms, and Notice of Intent to Arbitrate). All sections of the appropriate form being submitted must be completed and signed by the Grievant.
 - F. Once a grievance is filed, neither the Grievant nor the Association shall expand upon the original elements and substance of the written Grievance Form.
 - G. Oregon Tech may deny, with leave to refile within the time limits set forth in this Article, a grievance that is not filed in accordance with this Article.
 - H. A grievance may not be presented under this Article which occurred prior to the effective date of this Agreement.
 - I. A grievant, or the Association as the case may be, may withdraw a grievance at any time.

J. For all meetings under this Article, the parties shall inform each other at least one (1) day in advance of the meeting as to who will participate in the meeting. A failure to comply with this provision shall not act to cancel the meeting but will act to bar attendance by those not disclosed.

K. If the matter being grieved relates to an act or omission by the Dean or Provost, the grievance may be presented at Step Two or Step Three, utilizing the Grievance Form.

L. If the matter being grieved relates to an act or omission by the President, the grievance may be presented at Step Three, utilizing the Grievance Form.

Section 4. Presentation of Grievances.

Informal Procedure. Within fifteen (15) days, the Grievant, or the Association on behalf of the Grievant, shall file the grievance on the Grievance Form, consistent with the requirements of this Article, with the administrator most directly concerned in an attempt to resolve the grievance informally. Upon request of either party, the parties shall meet within ten (10) days of receipt of the Grievance Form. The administrator shall provide a written response to the party filing the grievance within ten (10) days of receiving the Grievance Form or conclusion of the meeting if one occurs.

Formal Procedure. If the grievant chooses not to initiate the informal procedure, above, or the matter is not satisfactorily resolved by the informal procedure, the following formal grievance procedure may be invoked. In no event, however, will a grievance be presented more than forty (40) days after the act, omission, or commencement of the condition on which the grievance is based.

Step 1: Dean's Level.

Within forty (40) days, the Grievant, or the Association on behalf of the Grievant, shall file the grievance on the Grievance Form consistent with the requirements of this Article with the Dean or Dean's designee.

Upon request of either party, the parties shall meet within ten (10) days of receipt of the Grievance Form. The Dean or Dean's designee shall send a decision in writing to the party filing the Grievance Form within ten (10) days of receiving the Grievance Form or conclusion of the meeting if one occurs.

Step 2: Provost's Level

If the Grievant is not satisfied with the decision at Step 1, a request for review may be filed on the Grievance Review Form with the Provost or Provost's designee within ten (10) days of the date of the decision at Step 1.

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Upon request of either party, the parties shall meet within ten (10) days of receipt of the Grievance Review Form. The Provost or Provost's designee not hearing the grievance at Step One, shall send a decision in writing to the party filing the Grievance Review Form within ten (10) days of receiving the Grievance Review Form or conclusion of the meeting if one occurs.

Step 3: President's Level.

If the Grievant is not satisfied with the decision at Step Two, a request for review may be filed on the Grievance Review Form with the President or President's designee within ten (10) business days of the date of the decision at Step Two.

Upon request of either party, the parties shall meet within ten (10) days of receipt of the Grievance Review Form. The President or President's designee not hearing the grievance at Step One or Two, shall send a decision in writing to the party filing the Grievance Review Form within ten (10) days of receiving the Grievance Review Form or conclusion of the meeting if one occurs.

Section 5. Notice of Intent to Arbitrate.

If the Grievant is not satisfied with the decision provided in Step 3, the Association may file a Notice of Intent to Arbitrate form, in Appendix [x], with the President or the President's designee and General Counsel within twenty (20) days of the date of the decision at Step 3. The process for arbitration is outlined in Article [x]:Arbitration.

No Grievant may advance a grievance to arbitration unless it is with the approval and participation of the Association.

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	GRIEVANCE FORM		
	☐ Informal Procedure	☐ Formal Procedure	
Name of Gri	evant(s):		
Thea with.			
Date Griev	ance Occurred or Discovere	ed:	_
Article(s) V	/iolated:		_
Statement of	of Grievance (identify the vi	iolation, misinterpretation, or improper application	ation (
the provision	ons of this Agreement):		_
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	ed on separate page(s).		
Remedy Re	equested:		_
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Grievant(s) S	Signature:		
` '			
Grievant(s) I	Phone:	; Grievant(s) Email:	
Calf Dans	ocanto d		
☐ Self Represent	esented ed by the following Associa	ation representative	
☐ I request a	•	ation representative	
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Representati	ve's Signature:		
Name:			
raille.			
Phone:	; Email:	:	

^{*}OT-AAUP reserves the right to add to, modify, or amend proposals during the course of negotiations.

207	GRIEVANCE REVIEW FORM
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209	STEP TWO
210 211	(Attach the original Grievance Form and decision of Dean or Dean's designee)
212 213 214 215	☐ I am not satisfied with the decision at Step One, or Oregon Tech failed to respond in a timely manner at Step One, and I hereby move the grievance to Step Two for review by the Provost or Provost's designee.
216 217 218	☐ I do not request a meeting. Please issue a written response to this matter within ten (10) business days from today's date, [Print date].
219 220 221	☐ I request a meeting. Please schedule a meeting to discuss resolution within ten (10) business days from today's date, [Print date].
222 223	Grievant(s) Signature:
224	☐ Self Represented
225	☐ Represented by the following Association representative
226 227 228	Representative's Signature:
229 230	Name:
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245	STEP THREE				
246	(Attach the original Grievance Form, and the decision(s) of the			
247	Dean or Dean's designee and/or Provost or Provost's Designee)				
248					
249	☐ I am not satisfied with the decision at Step Two, or Oregon Tech fa	iled to respond in a			
250	timely manner at Step Two, and I hereby move the grievance to Ste	p Three for review			
251	by the President or President's designee.	•			
252					
253	☐ I do not request a meeting. Please issue a written response to this m	atter within ten			
254	(10) business days from today's date,				
255	• • • • • • • • • • • • • • • • • • • •				
256	☐ I request a meeting. Please schedule a meeting to discuss resolution	within ten (10)			
257	business days from today's date,				
258	, <u> </u>	_ (
259	Grievant(s) Signature:				
260					
261	☐ Self Represented				
262	☐ Represented by the following Association representative				
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264	Representative's Signature:				
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266	Name:				
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	NOTICE OF INTENT TO ARBITRATE
Tl	ne Oregon Tech Chapter of the American Association of University Professors hereby
gi	ves notice of its intent to proceed to arbitration concerning the grievance of:
, c	lated which was not resolved satisfactorily at Step Three of the
gr	rievance procedure.
O'	T-AAUP requests/ does not request mediation be pursued in this matter.
_	
N	ame:
	Authorized Representative, OT-AAUP
Si	gnature:
D	ate:
	nereby authorize OT-AAUP to proceed to arbitration with my grievance. I understand ad agree that by filing this notice I hereby waive any rights concerning review by
0	regon Tech or judicial review as a contested case under the Administrative Procedures
A	ct (ORS §183) of the decisions rendered at prior steps of the grievance procedure.
G	rievant's name:
G	rievant's signature:
D	ate:
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